

Is Voting Contagious? Evidence from Two Field Experiments

DAVID W. NICKERSON *University of Notre Dame*

Members of the same household share similar voting behaviors on average, but how much of this correlation can be attributed to the behavior of the other person in the household? Disentangling and isolating the unique effects of peer behavior, selection processes, and congruent interests is a challenge for all studies of interpersonal influence. This study proposes and utilizes a carefully designed placebo-controlled experimental protocol to overcome this identification problem. During a face-to-face canvassing experiment targeting households with two registered voters, residents who answered the door were exposed to either a *Get Out the Vote* message (treatment) or a recycling pitch (placebo). The turnout of the person in the household not answering the door allows for contagion to be measured. Both experiments find that 60% of the propensity to vote is passed onto the other member of the household. This finding suggests a mechanism by which civic participation norms are adopted and couples grow more similar over time.

The entire act of voting appears to be assisted by interactions with friends, neighbors, and family members. Voters rely on one another to become informed about elections (Robinson 1976). Friends and neighbors encourage one another to go to the polls on Election Day (McClurg 2004). People in social networks encourage one another to support particular candidates (Huckfeldt and Sprague 1991). Unfortunately, an inability to disentangle influence from other factors places the entire literature on a shaky empirical foundation. Likeminded individuals with similar habits, customs, and stations in life gravitate toward one another to populate neighborhoods and social networks (Mutz and Martin 2001). Once individuals are located in a network, members of the network are often exposed to identical outside pressures that alter behaviors and beliefs. Using observational data, there is no method of separating the unique effect of contagion from selection processes, congruence of material interests, or exposure to external forces without making nontrivial assumptions. Thus, the magnitude of contagion effects in voting behavior is uncertain.

This identification problem is not limited to voting behavior and permeates nearly every study invoking interpersonal processes. Whether one is studying civic engagement (Putnam 2000), criminal activity (Anderson 1990), volunteerism (Wilson and Musick 1997), protests (Lohman 1994), riots (Myers 1997), revolutions (Tilly 1978), or even suicide (Pickering and Walford 2000), distinguishing the unique roles played by the personality who selected into the social network, the social setting surrounding the events acting on all actors, and the effect of the social network on individuals requires simplifying assumptions that may not approximate reality. Selection and omitted variables

are ubiquitous in social processes and make the effect of social networks on individual behaviors difficult to measure accurately.

The deficiencies in the empirical evidence marshaled on behalf of social networks in no way justifies ignoring interpersonal influence as a phenomenon. Most studies of voting assume an atomistic voter with weak ties to other members of a social network (e.g., Campbell et al. 1964; Rosenstone and Hansen 1993; Wolfinger and Rosenstone 1980). Much of the variance in voting behavior may be best explained by peer effects, but studies focused on isolated individuals are incapable of detecting the influence. Experimental studies of voter mobilization systematically understate the cost-effectiveness of get out the vote (GOTV) campaigns by ignoring people who interact with the contacted voter (e.g., Gerber and Green 2000; Green, Gerber, and Nickerson 2003). Atomism is a convenient simplifying assumption, but evidence of voter contagion would cast doubt on both the assumption itself and the results that follow from atomistic analysis.

This paper surmounts the problem of isolating and measuring interpersonal influence by analyzing two placebo-controlled experiments conducted in Denver, CO, and Minneapolis, MN, during the 2002 Congressional primaries. Face-to-face blandishments to vote were provided to one person in households containing two registered voters, increasing her likelihood of voting. A parallel canvassing effort encouraging recycling was conducted to provide a comparison group to serve as a baseline. Voter turnout records were then consulted to determine the turnout of both members of the household. The boost in turnout among uncontacted persons in households assigned to the GOTV condition is directly attributable to behavioral contagion, net sampling error.

The carefully controlled design of the experiments isolates the effect of interpersonal influence by eliminating confounding factors such as selection processes, structural congruence, and exposure to external factors. The experiment also measures the effect of a single political event (i.e., the knock on the door) on behavior, rather than discovering broad similarities that have developed over time. The downside of the strategy is that external validity is a major concern. The

David W. Nickerson is Professor, University of Notre Dame, Department of Political Science, 217 O'Shaughnessy Hall, Notre Dame, IN 46556 (dnickers@nd.edu).

This research was made possible by the generous support of the Institute for Social and Policy Studies at Yale University and the Institute for Scholarship in the Liberal Arts at the University of Notre Dame. The author would like to thank Alan Gerber, Donald Green, Alexandra Guisinger, David Mayhew, and three anonymous reviewers for helpful comments.

limitations of the study are discussed at length in the conclusion. However, the uniqueness of this field experimental approach to social networks makes the study a helpful addition to the literature on interpersonal influence.

INTERPERSONAL INFLUENCE AND THE FAMILY

The similarity between people who live together is inescapable and has been noted throughout history. That said, the extent to which cohabitants are similar on average varies across topics, and scholars have attempted to correctly categorize these attitudes and behaviors. Once the commonality is noticed, the fundamental question researchers address is the degree to which interpersonal influence is the cause of the similarity between cohabitants.

Niemi, Hedges, and Jennings (1977) find that spouses have very similar political profiles (but see Zuckerman and Kolter-Berkowitz 1998 for evidence that politically apathetic households are heterogeneous in preferences). Hayes and Bean (1992) analyze the South Bend snowball survey to conclude that the background characteristics of family members predict of a person's attitudes well. Kenny (1994) concludes that even party identification, an attribute often viewed as fixed (Green, Palmquist, and Schickler 2002), is influenced by spouses. Thus, politics is definitely a trait where congruence between cohabitants is observed.

A possible causal mechanism for the correlation in spousal behavior is the intimacy and frequency of interactions within the household. People may not talk about politics frequently, but when they do so it tends to be within the household. When asked to name political discussion partners, survey respondents are most likely to provide the name of a spouse (Beck 1991). In every year the question has been asked, the National Election Study finds that family members are the most frequent targets of attempts at political persuasion. Clearly, the high level of interaction, familiarity, respect, and trust among cohabitants facilitates an open discussion of politics and convergence in political views is a likely outcome. Thus, it is not surprising that longitudinal analysis finds agreement among married couples increases over time (Zuckerman, Fitzgerald, and Dasovic 2005; Stoker and Jennings 2005).

The quantity of discussion within the household, high correlation in attitudes, and behaviors between partners—even after controlling for partisanship and ideology—and convergence over time have led scholars to conclude that interpersonal influence is the major driver of similarity between spouses. Huckfeldt and Sprague declare spouses to be three times as influential as other relationships (1995, 169). More directly relevant to the current study, Zuckerman, Dasovic and Fitzgerald (2007, chapter 6) concludes the frequency of political discussion with a spouse increases voter turnout. Fowler uses the Watts-Strogatz model to capture the small-world properties of large-scale networks and suggests “a single person's decision to

vote affects the turnout decision of at least four people on average in a ‘turnout cascade’” (2005, 286). Although the scholars have undoubtedly uncovered an interesting and informative correlation, one should be hesitant to draw the conclusion that the attitudes and behaviors of one cohabitant *cause* the attitudes and behaviors of the other cohabitant for at least two reasons.

First, studies of interpersonal influence, like those cited previously, convincingly demonstrate that cohabitating couples share a host of views and habits, but do not demonstrate a mechanism or precipitating event for the convergence. Many studies focus on background characteristics of partners (e.g., Hays and Bean 1992). Scholars of social networks examine the strength and density of ties within social networks (e.g., Knoke 1990). Although studies often measure the frequency or type of discussion among partners over a period, none consider the effect of a particular conversation (e.g., Huckfeldt and Sprague 1995; Mutz 1998; Zuckerman, Dasovic and Fitzgerald 2007). Perhaps interpersonal influence is a gradual process where partners take subtle clues from one another over time, but the case for causality would be stronger if the effect of a single precipitating event was measured. Studies that examine specific turnout decisions (e.g., Fowler 2005) most closely approximate this goal, but inertia (e.g., past turnout behavior) or an external force (e.g., the closeness of the election, campaign contact, the importance of a particular issue) could be acting on both the ego and the alter. A distinct event affecting only one member of the network would be maximally convincing.

Second, omitted variables and selection processes could account for the similarity between spouses. Although all of the analyses discussed earlier include important control variables in the analysis, roommates are so similar to one another (relative to other pairs of individuals) that it may not be possible to adequately account for the similarity of people who live with one another. Chief among these potentially omitted variables are material congruence between cohabitants, similar exposure to outside factors, and the selection process that brought the housemates together.

Material Congruence

Statistical analyses of political behavior use control variables to obtain the hallowed condition of “all else being equal.” Cohabitants represent an unmatched degree of equality: subjects not only live in the same city but also share living quarters; housing expenses are not roughly the same, but exactly the same; children are not only present, but also are the same children; and so forth. Housemates are similar to a degree that cannot be captured in social science databases. Thus, it is possible that the congruent behaviors and beliefs among couples are not due to influence, so much as having identical material interests and any correlation is an artifact of omitted variable bias.

Exposure to Outside Factors

Because the lives of cohabitants are so intertwined, they are exposed to the same outside factors affecting behavior and beliefs more often than individuals in a randomly sampled survey. The parallel exposure to external influences occurs on every level. The exposure to mass media, such as newspapers, magazines, and television programs, are likely to be similar. Common political experiences need not be national like the Kennedy assassination or the September 11 attack on the World Trade Center, but could be more local such as a corrupt mayor or encounters with neighborhood activists. Most contact from political campaigns reaches more than one member of a household. Cohabitants can share even the most idiosyncratic political events such as court cases, dinner with an elected official, or negotiating government bureaucracies. Thus, it is possible that the similarity in political behaviors between two-voter households is due to innumerable shared experiences rather than interpersonal influence.

Selection

Housemates are not randomly paired together (but see Sacerdote 2001 or Klostad 2007 for studies of first-year college roommates). Although most individuals do not select a person to live with based on explicitly political criteria, it is not unusual to find someone who shares fundamental values and worldview. These ineffable, deep-seated value structures inform and shape a person's ideological structure, which in turn shapes political decisions. Therefore, partisan homogeneity among cohabitants is not the overt purpose of the selection process, but the pairing of two very similar individuals is a reasonable outcome. It is important to note that although many manifestations of this shared core belief system can be measured (e.g., partisanship or placement on a 7-point liberal/conservative scale), the true preexisting commonality is something that cannot be measured. Thus, the correlation between attitudes and actions among couples may be the result of careful selection rather than interpersonal influence.

This short list of alternative explanations for the high correlation of behaviors within a household is not intended to be exhaustive. Rather, it is indicative of the complexity and hurdles facing scholars of interpersonal influence. Disentangling the overspecified web of causes to isolate the effect of interpersonal influence alone is nontrivial, especially because the full extent of the processes cannot be measured.

The next section describes a randomized experimental protocol for detecting behavioral contagion that surmounts both challenges faced by the extant literature. An external stimulus is applied to a social network and its effect traced. The imposition of a single stimulus and the randomized application allows causal inferences to be made. That is, the experiment possesses internal validity. However, there are legitimate concerns regarding the external validity of the study, and these are discussed at length in the conclusion of the paper.

EXPERIMENTAL STRATEGY

Influence, material congruence, outside experiences, and selection reach an unknowable balance of political behaviors and habits in a household. In order to detect and isolate influence, a controlled exogenous shock can create a disturbance in the pattern of behaviors. The shock can then be traced through the two-voter system. Figure 1 offers a graphic illustration of the logic.

$$P_1 \Leftrightarrow P_2$$

$$\begin{array}{c} \text{Treatment} \\ \downarrow T \\ P_1 \xrightarrow{S} P_2 \end{array}$$

Prior experiments have demonstrated that face-to-face canvassing can be an effective means of increasing voter turnout (Gerber and Green 2000; Green, Gerber, and Nickerson 2003). By encouraging one member of the household to vote, it should be possible to measure the boost in turnout that a person receives, T , and then measure the indirect boost in turnout for the other person in the household, S . The contagion effect, α , is then the percentage of the direct treatment effect passed onto the other member of the household. That is,

$$\alpha = \frac{S}{T} \quad (1)$$

The key to this straightforward idea is isolating and accurately measuring both T and S . The experimental protocol described next accomplishes both tasks.

Prior to the 2002 Congressional Primaries households in Denver, CO, and Minneapolis, MN, with two registered persons were culled from the official voter rolls and randomly assigned to three conditions: (1) receive a GOTV appeal; (2) receive encouragement to recycle; (3) receive no contact from the campaign. Each appeal was delivered door-to-door the weekend prior to the Tuesday primary by a group of paid workers. The labor pool consisted of area college students, who typically had little experience in canvassing but were carefully trained. Canvassers were instructed to:

1. Provide the correct appeal at the correct household (designated by "V" for voting and "R" for recycling);
2. Give the pitch to whichever person of voting age answered the door;
3. Ask the name of the individual and record the person directly contacted.

Execution of the protocol went well in both Minneapolis and Denver. Volunteers reported no trouble delivering the correct script at each household since the walk between doors afforded sufficient time for canvassers to double-check the assignment (see Appendix B for the scripts). Conversations were very brief, and subjects contacted about recycling were left with a flyer. Flyers in support of voting were printed, but canvassers were given very few and instructed to hand-out flyers only when specifically requested by the person at the door (see Appendix A). In all, 486 households received the

TABLE 1. Possible Outcomes under placebo protocol

		Probability of Event Occurring	Voting Rate of Answerer	Voting Rate of Person Who Did Not Answer Door
GOTV	Door Answered	π	$\mu_1 + T$	$\mu_2 + S$
	No Answer	$1 - \pi$	N.A. ^a	μ_3
Recycling	Door Answered	π	μ_1	μ_2
	No Answer	$1 - \pi$	N.A.	μ_3

^a N.A. = Not applicable.

GOTV treatment and 470 received the recycling treatment.¹

Both Minneapolis and Denver are large cities with a majority white population. Neighborhoods with a high density of two-voter households were targeted to facilitate efficient door-knocking campaigns. These neighborhoods exhibited a higher rate of home ownership and slightly higher levels of education and income than the national average, but are typical of many communities.

Two key features make the experimental protocol a convincing test for contagion. First, only two voter households are considered, so the network is manageable. Second, the appropriate treatment is administered to the first person who answers the door. These two details tell the researcher where to look for the direct treatment effect, T , and the secondary treatment effect, S . Table 1 helps to illustrate how the experimental protocol isolates contagion within the household.

Once a canvasser knocks on a door, two outcomes are possible: the door is answered, occurring with probability π , or not, occurring with probability $1 - \pi$. The person answering the door has an average baseline propensity to vote, μ_1 . In the recycling condition, the observed rate of voter turnout among people who answer the door, \bar{V}_{Ra} , is a function solely of the baseline propensity to turnout having received no encouragement to vote from the campaign. This assumption can be checked empirically by comparing the rate of turnout in the recycling condition, which is intended as a placebo intervention, to turnout in the control condition where no contact whatsoever was attempted. However, in the GOTV condition, the observed rate of voter turnout among door answerers, \bar{V}_{Ga} , is a function of the baseline plus the average effect of the treatment, $\mu_1 + T$. Thus, the direct mobilization effect of the GOTV treatment can be calculated by subtracting the rate of turnout among people who answered the

door in recycling group from the rate of turnout among people who answered the door in the GOTV condition.

$$T = \bar{V}_{Ga} - \bar{V}_{Ra} \quad (2)$$

An identical strategy can be used when calculating the secondary mobilization of the person in the household not directly spoken to. The person not answering the door potentially has a different baseline propensity to vote from the person answering the door, μ_2 . However, the random assignment of the delivered message assures that μ_2 will be the same in both the GOTV and recycling conditions. Thus, one can calculate the secondary mobilization effect, S , by subtracting the average turnout among nonanswering residents of households where the recycling message was delivered, $\bar{V}_{R\sim a}$, from the turnout among nonanswering residents of households where the GOTV message was delivered, $\bar{V}_{G\sim a}$.

$$S = \bar{V}_{G\sim a} - \bar{V}_{R\sim a} \quad (3)$$

Estimating T and S is straightforward because of the care in the design of the placebo protocol. This conceptual clarity makes the calculation of voter contagion, α , possible. Furthermore, contact rates are not a concern since the only households considered are those where a treatment was successfully applied (see Nickerson 2005). Ultimately, the placebo assures a perfectly comparable set of subjects from which to establish a baseline level of voting.

This estimation process makes no assumptions about the baseline rate of voting between the two people in the household (see Table 1). In the analysis, the two individuals in the household are permitted to have separate predictive models of voter turnout. It is unlikely that members of the same household possess radically divergent patterns of voting behavior, but the placebo-controlled design frees the researcher from guessing either way.

Good placebos possess two properties: (1) the compliance profile of the placebo is exactly the same as the treatment; (2) the placebo is not causally related to the dependent variable. In the current setting, application of the treatment (i.e., GOTV message) and the placebo (i.e., recycling message) means a registered voter was contacted at the door and the appropriate message was given. The canvassers were asked to record what occurred at each door attempted. The GOTV and recycling messages featured nearly identical application

¹ Nearly every recycling household received a flyer encouraging recycling. In contrast, all but 14 of the voting flyers returned with the canvassers. Given such a small number of voting flyers distributed, there is no reason to believe the flyers caused the contagion within the household. Even if every household in the voting condition received a flyer, the empirical results would still suggest voting is highly contagious. Leaflets have been shown to increase voter turnout by 1 percentage point (Nickerson, Friedrichs, and King 2006). Adjusting the results in Table 3 for this percentage point, the direct mobilization effect would be estimated to be 8.8 and the indirect mobilization effect would be 5. Thus, the estimated contagion would be 57%.

TABLE 2. Balance of Observable Traits by Treatment Assignment

Stage	Category	Denver			Minneapolis		
		GOTV	Recycling	Control	GOTV	Recycling	Control
Assignment	Age	56.1	55.5	56.1	46.6	47.9	45.9
	Votes cast in past five elections	2.9	2.8	2.9	2.6	2.6	2.6
Application	House Contacted	33.2%	32.8%		46.2%	43.5%	
	Go Away	2.5%	4.1%		1.8%	1.1%	
	Moved	0.9%	0.6%		1.4%	0.7%	
	Can't Attempt	5.4%	4.2%		6.6%	6.4%	
	No Answer	58.0%	58.3%		44.0%	48.3%	
	Number Contacted	283	279		203	191	
Contacted	Age	55.9	56.0		47.7	48.5	
	Votes cast in past five elections	2.9	2.9		2.7	2.7	

Note. Age and vote history were taken from county voter files. Canvassers were asked to record the disposition of each door knock.

TABLE 3. Treatment Effect among Contacted Households

	Denver		Minneapolis		Pooled	
	Direct	Secondary	Direct	Secondary	Direct	Secondary
Percent Voting in	47.7%	42.4%	27.1%	23.6%		
GOTV Group	(3.0)	(2.9)	(3.1)	(3.0)		
Percent Voting in	39.1%	36.9%	16.2%	17.3%		
Recycling Group	(2.9)	(2.9)	(2.7)	(2.7)		
Estimated Treatment	8.6%	5.5%	10.9%	6.4%	9.8%	6.0%
Effect	(4.2)	(4.1)	(4.1)	(4.1)	(2.9)	(2.9)
P-Value	0.02	0.09	<0.01	0.06	<0.01	0.02

Note. Numbers in parentheses represent standard errors. P-values test the one-tailed hypothesis. Pooled estimates are weighted averages of results for both cities.

profiles across every event category (e.g., "Contacted," "Not Home," or "Go Away; see Table 2, middle panel). Furthermore, the people exposed to the GOTV and recycling messages were identical across every observable trait (see Table 2, lower panel). Thus, there is no reason to believe that the subjects exposed to the treatment differed in any way from the subjects exposed to the placebo. The placebo also exhibited no ability to motivate voters to the polls on Election Day (38.9% vs. 38.4% in Denver and 17.8% vs. 17.2% in Minneapolis). So the two criteria for an effective placebo are satisfied and the assumptions behind Table 1 hold.

Since the estimates for T and S rely only on the contrasting voting rates of the treatment and placebo conditions, the reader might wonder why subjects were also placed into a control group that received no contact from the campaign. The control group is unnecessary to derive the estimates, but it does provide a useful check on the implementation of the protocol. The two treatment conditions were assigned randomly prior to the canvassing, and the analysis relies on the assignment, so volunteers could not manipulate the pitch a household received. Yet, a skeptical reader might note that since the estimator is based on contact, perhaps volunteers selectively decided to contact households. For instance, rogue volunteers could avoid low voting households in the GOTV condition and, conversely, eschew high voting households in the recycling condition. If such selec-

tion occurred successfully, then those contacted by the GOTV campaign would turnout at higher rates than those contacted in the recycling condition—even if the treatment had no effect. However, the selective process described previously would do nothing to increase the turnout rate of the GOTV campaign over that of the control group.² There is no reason to believe that volunteers behaved in an untoward manner, but the control group offers a method of detecting problems in the implementation and provides assurance that the results are not epiphenomenal.

RESULTS

The first thing to check for is a direct mobilization effect from the GOTV intervention, T . If the exogenous shock does not boost the rate of turnout of the subject treated, then the boost cannot be passed onto the other person in the household. Table 3 presents the rates of turnout among the 956 households contacted in the experiment (562 in Denver and 394 in Minneapolis).

² Drawing on the terminology developed in Table 1, the exact quantity to be estimated can be calculated as follows. Subtract the rate of turnout of subjects assigned to the control group, $V_C = \pi(\mu_1 + \mu_2) + (1 - \pi)\mu_3$, from the rate of turnout among subjects assigned to the GOTV condition, $V_G = \pi(\mu_1 + T + \mu_2 + S) + (1 - \pi)\mu_3$. The direct and indirect mobilization from campaign contact is thus $T + S = \frac{V_G - V_C}{\pi}$.

Each city experienced a statistically and substantively significant rise in turnout from the GOTV campaign. The effect sizes (8.6% and 10.9%) are well within the range expected from the 1998 New Haven and 2001 YouthVote experiments (Gerber and Green 2000; Gerber, Green, and Nickerson 2003). To double-check the veracity of the mobilization effect, the households assigned to the GOTV condition were compared to the households assigned to the control condition using a two-stage least-squares estimator (Angrist, Imbens, and Rubin 1996). The results (not presented) confirm that the canvas caused a rise in turnout in the vicinity of 8% regardless of the control variables included (e.g., age, vote history, neighborhood dummies). Thus, the exogenous shock appears to have altered the behavior of the person treated.

The next step is to look for a mobilization effect among the untreated persons in contacted households. *S. Table 3* estimates the indirect mobilization effect from the GOTV campaign to be 5.5% in Denver and 6.4% in Minneapolis. Individually, neither of these estimates crosses the traditional 0.05 level of statistical significance, but the null hypothesis that there is no secondary effect is unlikely to be true since both cross $p < 0.1$ levels of significance. Given that identical protocols were used and the settings were very similar, results the two experimental results can be pooled together to estimate a secondary effect of 5.8%, which surpasses the 0.05 threshold using a one-tailed test.

From *Table 3* the contagion effect, α , can be estimated for both cities. The treated person passed on 64% and 59% of the increased propensity to vote in Denver and Minneapolis, respectively. That is, a person who might be 25% likely to vote in the primary would become 85% likely to vote as a direct result of a cohabitant deciding to vote. The magnitude of the contagion effect is remarkable when compared to other well studied predictors of voting such as education, income, and age. Examining reported turnout levels in the 2004 American National Election Study: the difference in turnout between people with an eighth-grade education or less (39%) is 47 percentage points lower than people with an advanced degree (86%); turnout in households with less than \$10,000 income (42%) is only 30 percentage points lower than households earning more than \$60,000; and, turnout among 18- to 24-year-olds (42%) lags that of respondents in their 60s (77%) by only 26 percentage points. This sizable experimental estimate of contagion is actually conservative, since some households contained people who planned to vote already and, therefore, would not be susceptible to contagion effects. The unavoidable conclusion is that voting is a highly contagious behavior and an important determinant of turnout.

A subtle point of interpretation deserves attention. If the recycling message has no effect on voter turnout, the control group should vote in the 2002 Congressional primary at roughly the same rate as those people treated with the placebo. This expectation is largely borne out since both the directly contacted persons in the recycling condition and the other members of the household vote near the rates of the control group for

each city (38.3% in Denver and 17.2% in Minneapolis). The observed deviations are well within the bounds of sampling error and not particularly notable. However, even if the placebo condition does not mobilize voters, there is good reason to believe that persons contacted by the campaign will vote at higher rates than the control group. Being contacted by the campaign means that the person has neither moved nor died, both of which decrease the likelihood of voting from a particular address. It is somewhat surprising that the recycling group does not vote at a higher rate than the control group. This parity between the placebo and control groups is probably the result of canvassing in neighborhoods with high residential stability. Volunteers found that the people listed had moved at only 1% of the homes (see *Table 2*). The placebo-controlled protocol was designed to sidestep these problems and in the process demonstrated that, in this particular sample, households contacted differ little from households not contacted.

DISCUSSION

Political scientists study people embedded within families, neighborhoods, and social networks rather than hermits living on desert islands. The Minneapolis and Denver experiments provide strong evidence that interpersonal influence shapes the behaviors of people living within the same household, thereby contradicting the atomistic assumptions underlying much survey based research. Unlike past studies of interpersonal influence, the placebo-controlled experiments isolate peer effects from selection processes and omitted variables to provide an unbiased estimate of the contagiousness of voter turnout within these households.

Despite these virtues of the design, external validity is a major concern of these experimental findings for five reasons. First, the exogenous shock may create an atmosphere within the household that does not resemble daily life. It is possible that the turnout behavior of one person matters less in normal circumstances and that campaign contact triggers or enhances interpersonal influence. Thus, the experiment may overstate the degree by which voting is a contagious behavior and measures only the extent to which campaign contact spills over. Given the frequency of campaign contact in elections at all levels of government, this quantity is also of substantive interest, but it may not measure voter contagion in the absence of contact.

Second, the results from two-voter households may not be applicable to broader social networks. Given the high degree of trust, intimacy, and interactions, it is likely that voting is far less contagious in other social settings. Although the household is an important political network, it is hardly exhaustive of social settings. Ironically, the experiment's isolation of households may cause it to understate spillover from campaign contact since friends and neighbors may have been affected by the campaign. Detecting contagion in other settings is an empirical question that requires separate experiments.

Third, even within households there is no guarantee contagion will be consistent for households in other settings. To facilitate efficient canvassing, neighborhoods with a high density of households with two registered voters were selected. A wide range of racial and income levels were included in the sample, but the neighborhoods exhibited higher residential stability and marriage rates than average. Two-voter households in other areas may feature a greater number of roommates and intergenerational pairings than the neighborhoods sampled here. Thus, the strength of the bond between cohabitants and contagion effects may vary across settings. The neighborhoods contained in the experiments are representative of a large number of communities, but by no means encompass the full breadth and depth of the diversity across the United States.

Fourth, the election considered in the experiment is a low-salience primary election and voter contagion may be heterogeneous across elections. A low-salience election was selected to reduce background noise (i.e., competing contacts from political campaigns) and provide an opportunity to detect contagion effect cleanly. Increased activity from campaigns in competitive elections may obscure contagion effects by contacting each member of the household and possibly drowning out the experimental treatment. The marginal effect of one knock on the door is likely to be zero when both subjects have already received 20 knocks on the door. One might imagine that voting is more contagious in high salience elections because people are more likely to discuss the election and barriers to participation are lower, but identifying a strategy to reliably measure contagion in high salience elections is difficult. The two experiments contained in this paper certainly do nothing to speak to heterogeneity across elections.

Finally, other behaviors or attitudes may not be as contagious as voter turnout. One advantage of observational, survey based strategies of detecting behavioral contagion is that it is possible to measure a range of behaviors and attitudes. In contrast, the exogenous shocks utilized in these experiments are only designed to affect turnout. The contagiousness of vote choice, attitudes about democracy, campaign donations, volunteerism, and other interesting behaviors are beyond the scope of this inquiry. Extrapolating the 60% contagion of voter turnout within the households to other behaviors is not possible.

Each of these concerns about external validity is an empirical question and answerable through further experiments. Fortunately, the placebo-controlled protocol utilized in this paper is extremely flexible and could be used in a wide variety of settings to study contagion through social networks. The challenge is to find valid placebos and unobtrusive means of accurately measuring the outcome variable of interest throughout the network. The very intimacy that makes interpersonal influence within households so difficult to isolate for observational studies provides an ideal conditions for the experiment. Conversely, observational techniques may prove more useful in broader social

networks where researchers lack sufficient control to conduct experiments. Voting is very contagious within households; the challenge is to devise creative means to measure contagion of other behaviors in other settings.

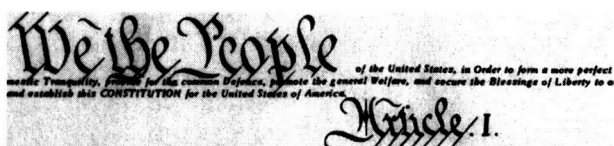
Although the placebo-controlled experiments provide an excellent means of detecting behavioral contagion, the process by which contagion occurs within the household remain unknown. It is possible that intrahousehold voter contagion is the result of lowered costs of voting (i.e., one person is driving to the polls already and the second person catches a ride). Another hypothesis is that social pressure to vote is the motivating agent. One could formulate other hypotheses, but there is no way to distinguish between them given the data from the experiments conducted in Denver and Minneapolis. Exogenous shock strategies are useful for detecting an effect, but not useful for testing the process that transmits the effect.

Although the experiments cannot provide a mechanism for the contagion itself, the contagiousness of voting behavior provides a mechanism for broad changes in political culture. Rates of voter turnout may have remained relatively stable since the 1970s (McDonald and Popkin 2001), but electoral participation has declined since the 1960s (Dalton and Wattenberg 2002; Patterson 2002; Putnam 2000). Since voting is a highly contagious behavior, self-reinforcing cycles of turnout and abstention are to be expected. As turnout declines, a person encounters fewer people who vote and the social pressure to vote declines. As aggregate turnout increases, an individual interacts with a larger number of voters and the propensity to vote increases (Fowler 2005). Evidence of behavioral contagion provides a micro-level process for macro-social forces.

Contagion also implies prior voter mobilization experiments (e.g., Gerber and Green 2000; Michelson 2003; Nickerson 2006; Nickerson 2007) were not justified in invoking the stable unit treatment value assumption (SUTVA). By focusing only on one individual in a household these experiments consistently underestimate the number of votes created from campaign contact of households. If a campaign contacted 100 people in households with multiple registered voters, the direct effect of the contact generates nine votes. This placebo-controlled experiment suggests that the contact also generates six votes through behavioral contagion—a 60% increase in efficiency.

These results highlight the degree to which contagion effects can alter the world. To accurately measure causal effects, researchers need to account for influence through social networks. Even in settings characterized by extreme selection processes, congruent material interests, and similar exposure to outside factors—such as the households studied in the Minnesota and Denver experiments—there is room for powerful interpersonal influence. The behaviors and beliefs between husbands and wives are extremely similar, but the relationships between husbands and wives are still dynamic and evolving. The challenge is to design careful studies to successfully isolate the roles played by friends and family members.

APPENDIX A



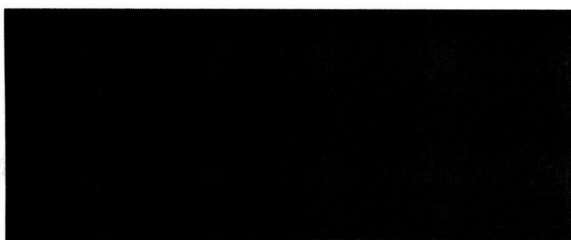
Women waited 144 years for the right to vote.

African-Americans waited 94 years for the right to vote and another 94 years to make that right meaningful.

All you had to do was turn 18.

Make your voice heard.

Vote Tuesday, September 10th.



APPENDIX B

GOTV Script

Hi, my name is _____ and I'm with the Center for Environmental Citizenship. How are you today?

I don't want to take up much of your time, but we'd like to remind you that the primary election is occurring this Tuesday and that voting is an important duty. We don't care for whom you vote, we just like to see you at the polls this Tuesday.

For our records, could you please tell me whether you are _____ or _____?

Thanks and have a nice day.

Recycling Script

Hi, my name is _____ and I'm with the Center for Environmental Citizenship. How are you today?

I don't want to take up much of your time and we're not asking for money. We'd just like to remind you that recycling is only effective if everyone participates. Does your household recycle?

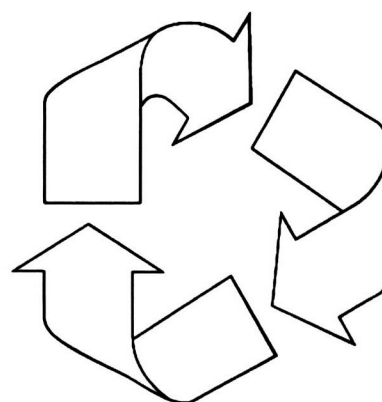
<If yes> Great. Please keep up the good work.

<If no> It is very easy to do and doesn't take up much time. We hope that you start recycling soon.

For our records, could you please tell me whether you are _____ or _____?

Thanks and have a nice day.

Please do your part and recycle!



Think recycling doesn't matter?

One million tons of aluminum containers are thrown away each year.

Americans throw away enough aluminum every three months to rebuild our entire commercial air fleet.

Making new aluminum cans from used cans takes 95 percent less energy and 20 recycled cans can be made with the energy needed to produce one can using virgin ore.

The energy required to replace the aluminum cans thrown away in 2001 is roughly the equivalent of 16 million gallons of crude oil: enough to meet the electricity needs of all the homes in Chicago, Dallas, Detroit, San Francisco, and Seattle combined.

REFERENCES

- Anderson, Elijah. 1990. *Streetwise: Race, Class, and Change*. Chicago: University of Chicago Press.
- Angrist, Joshua D., Guido W. Imbens, and Donald B. Rubin. 1996. "Identification of Casual Effects Using Instrumental Variables." *Journal of the American Statistical Association* 91 (June): 444-55.
- Beck, Paul Allen. 1991. "Voters Intermediation Environments in the 1988 Presidential Contest." *Public Opinion Quarterly* 55 (Fall): 371-94.
- Beck, Paul Allen, Russell J. Dalton, Steven Greene, and Robert Huckfeldt. 2002. "The Social Calculus of Voting: Interpersonal, Media, and Organizational Influences on Presidential Choices." *American Political Science Review* 96 (March): 57-73.
- Campbell, Angus, Philip E. Converse, Warren E. Miller, and Donald E. Stokes. 1964. *The American Voter*. Chicago: University of Chicago Press.
- Dalton, Russel J., and Martin P. Wattenberg, eds. 2002. *Parties without Partisans: Political Processes in Advanced Industrialized Democracies*. New York: Oxford University Press.
- Fowler, James H. 2005. "Turnout in a Small World." In *The Social Logic of Politics: Personal Networks as Contexts for Political Behavior*, ed. Alan S. Zuckerman. Philadelphia: Temple University Press.
- Gerber, Alan S., and Donald P. Green. 2000. "The Effects of Canvassing, Telephone Calls, and Direct Mail on Voter Turnout: A Field Experiment." *American Political Science Review* 94 (September): 653-63.
- Green, Donald P., Alan S. Gerber, and David W. Nickerson. 2003. "Getting Out the Vote in Local Elections: Results from Six Door-to-Door Canvassing Experiments." *Journal of Politics* 65 (November): 1083-96.
- Green, Donald, Bradley Palmquist, and Eric Schickler. 2002. *Partisan Hearts and Minds: Political Parties and the Social Identity of Voters*. New Haven: Yale University Press.

- Hayes, Bernadette C., and Clive S. Bean. 1992. "The Impact of Spousal Characteristics on Political Attitudes in Australia." *Public Opinion Quarterly* 56 (Winter): 524–29.
- Huckfeldt, Robert, and John Sprague. 1991. "Discussant Effects on Vote Choice: Intimacy, Structure, and Interdependence." *Journal of Politics* 53 (February): 122–58.
- Huckfeldt, Robert, and John Sprague. 1995. *Citizens, Politics, and Social Communication: Information and Influence in an Election Campaign*. New York: Cambridge University Press.
- Katz, Elihu. 1957. "The Two-Step Flow of Communication: An Up-To-Date Report on an Hypothesis." *Public Opinion Quarterly* 21(1): 61–78.
- Katz, Elihu, and Paul F. Lazarsfeld. 1955. *Personal Influence: The Part Played by People in the Flow of Mass Communications*. New York: Free Press.
- Kenny, Christopher B. 1994. "The Microenvironment of Attitude Change." *Journal of Politics* 56 (August): 715–28.
- Klofstad, Casey A. 2007. "Talk Leads to Recruitment: How Discussions about Politics and Current Events Increase Civic Participation." *Political Research Quarterly* 60 (June): 180–91.
- Knoke, David H. 1990. *Political Networks: A Structural Perspective*. New York: Cambridge University Press.
- Lazarsfeld, Paul F., Bernard Berelson, and Hazel Gaudet. 1948. *The People's Choice*. New York: Columbia University Press.
- Lohmann, Susanne. 1994. "Dynamics of Informational Cascades: The Monday Demonstrations in Leipzig, East Germany, 1989–1991." *World Politics* 47 (October): 42–101.
- Manski, Charles F. 1993. "Identification of Endogenous Social Effects: The Reflection Problem." *Review of Economic Studies* 60 (July): 531–42.
- McClurg, Scott D. 2004. "Indirect Mobilization: The Social Consequences of Party Contacts in an Election Campaign." *American Politics Research* 32 (July): 406–43.
- McDonald, Michael P., and Samuel L. Popkin. 2001. "The Myth of the Vanishing Voter." *American Political Science Review* 95 (December): 963–74.
- Michelson, Melissa. 2003. "Getting Out the Latino Vote: How door-to-door canvassing influences voter turnout in rural Central California." *Political Behavior* 25 (September): 247–63.
- Mutz, Diana C. 1998. *Impersonal Influence*. New York: Cambridge University Press.
- Mutz, Diana C., and Paul S. Martin. 2001. "Facilitating Communication Across Lines of Political Difference: The Role of Mass Media." *American Political Science Review* 95 (March): 97–114.
- Myers, Daniel J. 1997. "Racial Rioting in the 1960S: An Event History Analysis of Local Conditions." *American Sociological Review* 62 (February): 94–112.
- Nickerson, David W. 2005. "Scaleable Protocols Offer Efficient Design for Field Experiments." *Political Analysis* 13 (Summer): 233–52.
- Nickerson, David W. 2006. "Volunteer Phone Calls Can Increase Turnout: Evidence from Eight Field Experiments." *American Politics Research* 34 (May): 271–92.
- Nickerson, David W. 2007. "Quality is Job One: Volunteer and Professional Phone Calls." *American Journal of Political Science* 51 (April): 269–82.
- Nickerson, David W., Ryan K. Friedrichs, and David C. King. 2006. "Partisan Mobilization Campaigns in the Field: Results from a Statewide Mobilization Campaign in Michigan." *Political Research Quarterly* 59 (March): 85–97.
- Niemi, Richard G. 1974. *How Family Members Perceive Each Other*. New Haven: Yale University Press.
- Niemi, Richard G., Roman Hedges, and M. Kent Jennings. 1977. "The Similarity of Husbands' and Wives' Political Views." *American Politics Quarterly* 5(2): 133–48.
- Oliver, J. Eric. 2001. *Democracy in Suburbia*. Princeton, NJ: Princeton University Press.
- Palfrey, Thomas R., and Howard Rosenthal. 1983. "A Strategic Calculus of Voting." *Public Choice* 41(1): 7–53.
- Patterson, Thomas E. 2002. *The Vanishing Voter: Public Involvement in an Age of Uncertainty*. New York: Alfred A. Knopf.
- Pickering, W. S. F., and Geoffrey Walford. 2000. *Durkheim's Suicide: A Century of Research and Debate*. New York: Routledge.
- Putnam, Robert D. 2000. *Bowling Alone: The Collapse and Revival of American Community*. New York: Simon & Schuster.
- Riker, William H., and Peter C. Ordeshook. 1968. "A theory of the calculus of voting." *The American Political Science Review*, 62 (March): 25–42.
- Robinson, John P. 1976. "Interpersonal Influence in Election Campaigns: Two Step-Flow Hypotheses." *Public Opinion Quarterly* 40 (Summer): 304–19.
- Rosenstone, Steven J., and John Mark Hansen. 1993. *Mobilization, Participation, and Democracy in America*. New York: MacMillan.
- Sacerdote, Bruce I. 2001. "Peer Effects With Random Assignment." *Quarterly Journal of Economics*, 116 (May): 681–704.
- Stoker, Laura, and M. Kent Jennings. 2005. "Political Similarity and Influence between Husbands and Wives." In *The Social Logic of Politics: Personal Networks as Contexts for Political Behavior*, ed. Alan S. Zuckerman. Philadelphia: Temple University Press.
- Tilly, Charles. 1978. *From Mobilization to Revolution*. Reading, MA: Addison-Wesley.
- Wilson, John, and Marc Musick. 1997. "Who Cares? Toward an Integrated Theory of Volunteer Work." *American Sociological Review* 62 (October): 694–713.
- Wolfinger, Raymond E. and Steven J. Rosenstone. 1980. *Who Votes?* New Haven, CT: Yale University Press.
- Zuckerman, Alan S., Josip Dasovic and Jennifer Fitzgerald. 2007. *Partisan Families: The Social Logic of Bounded Partisanship in Germany and Britain*. New York: Cambridge University Press.
- Zuckerman, Alan S., Jennifer Fitzgerald, and Josip Dasovic. 2005. "Do Couples Support the Same Political Parties? Sometimes: Evidence from British and German Household Panel Surveys." In *The Social Logic of Politics: Personal Networks as Contexts for Political Behavior*, ed. Alan S. Zuckerman. Philadelphia: Temple University Press.
- Zuckerman, Alan S., and Laurence A. Kotler-Berkowitz. 1998. "Politics and Society: Political Diversity and Uniformity in Households as a Theoretical Puzzle." *Comparative Political Studies* 31 (August): 464–97.